

APPLICATION  
FOR  
UNITED STATES LETTERS PATENT

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PATENT APPLICATION

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SPECIFICATION

TO ALL WHOM IT MAY CONCERN:

Be it known that Kevin J. Knopp of 456 Main Street, Amesbury, Massachusetts 01913, and Daryoosh Vakhshoori of 10 Rogers Street, Apt. 205, Cambridge, MA 02142 have invented certain improvements in INTRACAVITY SEMICONDUCTOR LENS FOR OPTOELECTRONIC DEVICES of which the following description is a specification.

SR2/CORE63.CVR

INTRACAVITY SEMICONDUCTOR LENS FOR  
OPTOELECTRONIC DEVICES

Reference To Pending Prior Patent Application

5           This patent application claims benefit of pending  
prior U.S. Provisional Patent Application Serial No.  
60/196,401, filed 04/12/00 by Kevin J. Knopp et al. for  
INTRACAVITY SEMICONDUCTOR LENS FOR OPTOELECTRONIC  
DEVICES (Attorney's Docket No. CORE-63 PROV), which  
patent application is hereby incorporated herein by  
reference.

Field Of The Invention

10           This invention relates to optical devices in  
general, and more particularly to optoelectronic  
devices.

Background Of The Invention

15           Optoelectronic devices are electronic devices for  
emitting, modulating, transmitting and sensing light.

20           One important type of optoelectronic device is the  
vertical cavity surface emitting laser (VCSEL).  
VCSEL's have recently generated considerable interest

in the art. One reason for this interest is because VCSEL's are believed to have application in a wide range of different optical telecommunication systems, e.g., wavelength division multiplexing (WDM) fiberoptic systems, switches, routers, etc.

A VCSEL generally comprises a substrate, a bottom mirror deposited on the top of the substrate, a bottom spacer deposited on the top of the bottom mirror, a gain region deposited on the top of the bottom spacer, a top spacer deposited on the top of the gain region, and a top mirror deposited on the top of the top spacer, such that a reflective cavity is formed between the bottom mirror and the top mirror, with the gain region being disposed within the reflective cavity. The gain region is constructed so that when the gain region is appropriately stimulated, e.g., by optical pumping, the gain region will emit light. The bottom mirror is typically substantially fully reflective at the wavelengths of interest, and the top mirror is typically partially reflective at the wavelengths of interest, so as to allow the laser light to build up within the reflective cavity before being emitted from the top end of the laser.

It has been found that the laser light can become dissipated as it is reflected back and forth across the reflective cavity. Unfortunately, such dissipation reduces the efficiency of the laser. Thus, it would be advantageous if a lens could be provided within the reflective cavity so as to minimize light loss due to diffraction and to increase cavity stability.

#### Summary Of The Invention

Accordingly, an object of the present invention is to provide an improved VCSEL.

In one form of the invention, there is provided a VCSEL having improved diffraction loss, comprising a series of deposited material layers comprising the structure of the VCSEL, and an intracavity lens formed in one of the series of the deposited material layers.

In another form of the invention, there is provided a VCSEL which comprises a substrate, a bottom mirror deposited on the top of the substrate, a bottom spacer deposited on the top of the bottom mirror, a gain region deposited on the top of the bottom spacer, a top spacer deposited on the top of the gain region, and a top mirror deposited on the top of the top

spacer, such that a reflective cavity is formed between the bottom mirror and the top mirror, with at least one of the bottom mirror, bottom spacer, gain region, top spacer and top mirror containing a superlattice structure, and with an adjacent region being subjected to ion implantation and rapid thermal annealing so as to disorder the superlattice structure and change its index of refraction, whereby to create an intracavity lens so as to reduce diffraction loss and to increase cavity stability.

#### Brief Description Of The Drawings

These and other objects and features of the present invention will be more fully disclosed by the following detailed description of the preferred embodiments of the invention, which is to be considered together with the accompanying drawings wherein like numbers refer to like parts and further wherein:

Fig. 1 is a schematic sectional view of a novel VCSEL formed in accordance with the present invention;

Figs. 2-8 are schematic views illustrating fabrication of the novel VCSEL of Fig. 1, wherein

Fig. 2 shows a bottom mirror mounted to the top of a substrate, Fig. 3 shows a bottom spacer mounted to the top of the bottom mirror, Fig. 4 shows a gain region mounted to the top of the bottom spacer, Fig. 5 shows a top spacer mounted to the top of the gain region, Fig. 6 shows a top mirror mounted to the top of the top spacer, Fig. 7 shows a masking layer mounted to the top of the top mirror, Fig. 8 shows the masking layer having been removed from the top of the top mirror and replaced by a proximity cap, Fig. 9 shows the structure of Fig. 8 after annealing so as to create the intracavity lens, and Fig. 10 shows the completed VCSEL after removal of the proximity cap;

Fig. 11 is a schematic sectional view like that of Fig. 7, but showing an alternative form of masking layer;

Fig. 12 is a schematic sectional view like that of Fig. 7, but showing another alternative form of masking layer; and

Fig. 13 is a schematic sectional view like that of Fig. 7, but showing still another alternative form of masking layer.

Detailed Description Of The Preferred Embodiments

Referring to Fig. 1, there is shown a VCSEL 5 formed in accordance with the present invention. VCSEL 5 generally comprises a substrate 10, a bottom mirror 15 deposited on the top of substrate 10, a bottom spacer 20 deposited on the top of bottom mirror 15, a gain region 25 deposited on the top of bottom spacer 20, a top spacer 30 deposited on the top of gain region 25, and a top mirror 35 deposited on the top of top spacer 30, with a reflective cavity 40 being formed between bottom mirror 15 and top mirror 35. Gain region 25 is constructed so that when the gain region is appropriately stimulated, e.g., by optical pumping, the gain region will emit light. Bottom mirror 15 is substantially fully reflective at the wavelengths of interest, and top mirror 35 is partially reflective at the wavelengths of interest, so as to allow the laser light to build up within reflective cavity 40 before being emitted from the top end of the laser.

In accordance with the present invention, at least one of the bottom mirror 15, bottom spacer 20, gain region 25, top spacer 30 and top mirror 35 contains a superlattice structure, and an adjacent region is

subjected to ion implantation and rapid thermal annealing so as to disorder the superlattice structure and change its index of refraction, whereby to create an intracavity lens 45 so as to reduce diffraction loss within the laser and increase cavity stability.

In accordance with the present invention, VCSEL 5 may be formed as follows.

A bottom mirror 15 is deposited on the top of substrate 10 (Fig. 2), and then a bottom spacer 20 (Fig. 3) is deposited on the top of bottom mirror 15. Substrate 10 preferably comprises a semiconductor material such as Si, GaAs, InP or other suitable materials. Bottom mirror 15 may be formed out of a semiconductor material such as Si, GaAs, InP, AlGaAs, InGaAsP, InAlGaAs, InAlAs, AlGaAsSb or AlAsSb, or other suitable materials. Bottom mirror 15 may be deposited on substrate 10 by any suitable thin film deposition techniques. Bottom spacer 20 preferably comprises a semiconductor material such as InP, GaAs, AlGaAs, InGaAsP, InAlGaAs, InAlAs, AlGaAsSb or AlAsSb, and may be grown on bottom mirror 15 by MOCVD (metal organic chemical vapor deposition) or MBE (molecular beam epitaxy).



Next, gain region 25 (Fig. 4) is deposited on top of bottom spacer 20. Gain region 25 may comprise an InGaAsP, InGaAs, GaAs, AlGaAs, InGaAsP, InAlGaAs, InAlAs, InAlAs, AlGaAsSb or AlAsSb multiple quantum well (MQW) structure. Gain region 25 may be deposited on bottom spacer 20 by MBE (molecular beam epitaxy) or MOCVD (metal organic chemical vapor disposition) methods. Gain region 25 is formed such that lasing can be achieved within reflective cavity 40 by photo-pumping with a separate pump laser having a wavelength that is highly absorptive within the gain spectrum of the gain medium used in gain region 25.

Top spacer 30 (Fig. 5) is then deposited on gain region 25. Top spacer 30 preferably comprises a semiconductor material such as InP, GaAs, AlGaAs, InGaAsP, InAlGaAs, InAlAs, AlGaAsSb or AlAsSb, and may be deposited on gain region 25 by MBE or MOCVD or other thin film coating techniques.

Next, top mirror 35 (Fig. 6) is deposited on top spacer 30. In one preferred form of the invention, top mirror 35 comprises a semiconductor material such as Si, GaAs, InP, GaAs, AlGaAs, InGaAsP, InAlGaAs, InAlAs, AlGaAsSb or AlAsSb, or other suitable materials. Top

mirror 35 may be deposited on top spacer 30 by thin film coating technology.

In accordance with the present invention, at least one of bottom mirror 15, bottom spacer 20, gain region 25, top spacer 30 and top mirror 35 contains a superlattice structure, and an adjacent region is subjected to ion implantation and rapid thermal annealing so as to disorder the superlattice structure and change its index of refraction in a spatially-varying manner, whereby to create an intracavity lens 45 so as to reduce diffraction loss.

More particularly, in one preferred form of the invention, after mirror 35 has been deposited on top spacer 30, a dielectric masking layer 50 (Fig. 7) is deposited on top of top mirror 35. Masking layer 50 preferably comprises a dielectric material such as silicon nitride, silicon dioxide, etc., and may be deposited by thin film deposition techniques. Masking layer 50 has a non-uniform thickness such that subsequent ion bombardment can create a spatially-varying index of refraction in at least one of the underlying layers of the VCSEL. More particularly, the cross-sectional profile of masking

layer 50 governs the spatially-varying degree of ion implantation, which in then governs the spatially-varying index of refraction of the lens formed in the VCSEL. Thus, for example, in Fig. 7 masking layer 50 is shown with a thicker center 50A and a thinner periphery 50B, whereby to yield a lower concentration of ion implantation toward the center of the device. Masking layer 50 typically has a thickness of approximately 1-5 microns.

Once masking layer 50 has been applied to top mirror 35, the VCSEL is bombarded with ions so as to create crystalline damage and point defects in at least one of bottom mirror 15, bottom spacer 20, gain region 25, top spacer 30 and top mirror 35. Rapid thermal annealing (see below) then induces disorder in an adjacent superlattice structure, whereby to change its index of refraction and create an intracavity lens. Ions such as phosphorus, oxygen, helium and indium may be used, with a "dosage" of about  $3-30 \times 10^{13}$  ions/cm<sup>2</sup> and an energy level of about 100-500 KeV. The particular ion selected, and the particular "dosages" and energy levels utilized, regulate the "damage profile" created in the underlying layers of the VCSEL,

i.e., the disorder created in a superlattice structure of the laser. In one preferred form of the present invention, masking layer 50 and the ion bombardment particulars are chosen so as to create the desired disorder in the superlattice structure of the gain region's multiple quantum wells.

Once the desired crystalline damage and point defects have been created in at least one of bottom mirror 15, bottom spacer 20, gain region 25, top spacer 30 and top mirror 35, masking layer 50 is removed, e.g., by wet or dry chemical etching. Then a proximity cap 55 (Fig. 8) is placed on the top surface of top mirror 35. Proximity cap 55 is preferably formed out a semiconductor material such as Si, GaAs, InP or other suitable material. In one preferred form of the invention, proximity cap 55 is formed out of the same material as substrate 10.

After proximity cap 55 has been placed on top of top mirror 35, the device is annealed, e.g., for 1-5 minutes at a temperature of about 500-650° C. This causes the crystalline damage and point defects in upper spacer 30 to create the desired disorder in the

superlattice structure of the gain region's multiple quantum wells. Inasmuch as this disorder is spatially-varying, it will create a spatially-varying change in the index of refraction of gain region 25, whereby to create a semiconductor lens 45 (Fig. 9) in gain region 25. This semiconductor lens will reduce diffraction loss within the VCSEL. Once semiconductor lens 45 has been established in the laser, proximity cap 55 is removed, e.g., by lifting. Accordingly, the VCSEL 5 is formed, according to the present invention, having an intracavity semiconductor lens 45 to reduce diffraction loss.

As noted above, the cross-sectional profile of masking layer 50 governs the spatially-varying index of refraction of the intracavity lens 45 formed in the VCSEL. In this respect it will be appreciated that the masking layer 50 may have a cross-sectional profile different than that shown in Fig. 7, as dictated by the desired spatially-varying index of the refraction. By way of example but not limitation, in Fig. 11 there is shown a masking layer 50 having a rounded convex upper surface, in Fig. 12 there is shown a masking layer 50 having a stepped upper surface, and in Fig. 13 there is

shown a masking layer 50 having a rounded concave upper surface. Still other surface profiles will be apparent to those skilled in the art.

In the foregoing description of the preferred  
5 embodiment of the invention, the intracavity  
semiconductor lens 45 was described as being formed in  
gain region 25. However, as noted elsewhere in this  
application, the intracavity semiconductor lens 45 may  
also be formed in any one (or more) of bottom mirror  
10 15, bottom spacer 20, top spacer 30 and top mirror 35,  
so long as they have a superlattice structure, such  
that an adjacent region can be subjected to ion  
implantation and subsequent thermal annealing will  
disorder the superlattice structure and change its  
15 index of refraction, whereby to create an intracavity  
lens to reduce diffraction loss within the laser.

In addition, in the foregoing description of the  
preferred embodiment of the invention, the VCSEL's  
reflective cavity 40 was formed before the ion  
20 implantation and annealing used to form intracavity  
lens 45. However, if desired, ion implantation and  
annealing can be carried out after formation of the  
VCSEL regions needed to form the intracavity

semiconductor lens, i.e., prior to formation of the complete reflective cavity 40.

Also, it should be appreciated that bottom mirror 15 and/or top mirror 35 may be a Distributed Bragg Reflector (DBR) formed out of alternating layers of quarter-wavelength thick deposited dielectric films, e.g., silicon (Si) and aluminum oxide ( $\text{Al}_2\text{O}_3$ ), or silicon (Si) and silicon dioxide ( $\text{SiO}_2$ ), or silicon (Si) and magnesium oxide (MgO), or  $\text{TiO}_2$  and  $\text{SiO}_2$ , or  $\text{Ta}_2\text{O}_5$  or zirconium oxide, etc.

It will be understood that the foregoing detailed description of the preferred embodiments of the invention has been presented by way of illustration and not limitation. Various modifications, variations, changes, adaptations and the like will occur to those skilled in the art in view of the foregoing specification. Accordingly, the present invention should be understood as being limited only by the terms of the claims.